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PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE e Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 09/727 903 **TRANSMITTAL** Filing Date December 1, 2000 **FORM** First Named Inventor Coatsl, Elon R. Art Unit 2644 (to be used for all correspondence after initial filing) Examiner Name Laura Grier Attorney Docket Number 509/3 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC < Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Identify below): Extension of Time Request Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority RECEIVED Document(s) Return postcard Response to Missing Parts/ MAR 0 2 2004 Incomplete Application Response to Missing Parts Technology Center 2600 under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Matthew B. Dernier, Reg. No. 40,989 Individual name Signature Date February 25, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Matthew B. Dernier Date February 25, 2004 Signature

This collection of information is required by \$7 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

O P E JCJ TOWN SEE

Docket No.: 509/3

3-2-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Coats et al.

Application No.: 09/727,903

Group Art Unit: 2644

Filed: December 1, 2000

Examiner: Laura Grier

For: SUB-HARMONIC GENERATOR AND STEREO EXPANSION PROCESSOR

RECEIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 MAR 0 2 2004

Technology Center 2600

REVISED SECOND PRELIMINARY AMENDMENT PURSUANT TO 37 C.F.R. §1.115

Sir:

Prior to examination of the above-identified patent application, please amend the application as follows: